#### SECTION 1 - GENERAL INFORMATION

Contractors o	choosing to qualify	for more than one Tr	ade shall list all license	e classifications.
Company na	ame:			Union Non-Union_
	(As it ap	pears on Contractor's	License)	
Legal Entity	Name (if different	than above):		
Check One:	Corporation	Partnership	Sole Proprietor	Joint Venture
Tax ID Number	r:	Date Business Form	med:	
Address.				
	(Street Address	)		
_	(City, State, Zip	Code)		
Phone: (	)		Fav:( )	
Title:		Email:		
Name of Off	fice Contact for	administrative ques	stions:	
Title:		Email:		
CSLB License	e No	Class(s):		Exp. Date:
Department	of Industrial R	elations (DIR) Regis	stration No#	Exp. Date:
Have you ever	been licensed in Ca	alifornia under a different	name or different license	e number?
Yes	No If yes, li	st all name(s) and lice	ense number(s) on a se	eparate sheet.
Evaluaire	Small Ducies	La. /841	UST indicate "vice	-// ox \\no//\
EXCIUSIVE	Siliali Projec	<u>ts</u> ( <u>M</u> t	<u> JST indicate "yes</u>	<u>s or no</u> )

#### Instructions:

By indicating "<u>Yes</u>," your company will be placed on a list and <u>only</u> be contacted for projects which are <u>not estimated to exceed \$25,000</u>. No DIR contractor registration or bonding is required for these projects. Prevailing wage payment and maintaining correct records is still required for all Public Works as defined by Labor code 1720 and according to payment limits in Labor Code 1771. Review DIR Newline 2017-52 and 2017-56 for details.

By indicating "No," your company will be placed on a list and may be contacted for all projects under \$200,000. DIR contractor registration is required and payment bonds of 100% are required for all projects over \$25,000, regardless of competitive bidding process. Each project over \$25,000 will be registered with the DIR for compliance monitoring and Contractor will upload their eCPR using the assigned project number provided by County.

#### **SECTION 1 – GENERAL INFORMATION (cont'd)**

1.	. In the past 10 yrs., what other business has the corporate officers been involved in			involved in?	
	(List on a separate sign	ned page if not $\epsilon$	enough space)		
2.	Has there been any r (If yes, explain on sepa			ompany? Yes*	No
3.	Is the company or its holding or affiliate? Y (*If "yes", explain on sep				
4.	Corporate Officers – Partr	ners – Proprietors -	– Owners – Key Persoi	nnel:	
	Name		Position	Years w/Firm	% of Ownership
5.	If a corporation: Date in If a partnership: Date of Check One: General	of organization Limited	Association	State Entity #	
7.	In what type of constructi				
8.	Is the company currently I				
	(*If "YES" please indicate wl il and phone number. County ma	ay choose to contact	t the agency listed to ve	erify status and performand	ce history.)
(	( <u>Use Attachments 1.1 ar</u>	• •		-	<del></del>
Со	ounty Use Only:				
Ve	erified by		Date		
Lic	cense Verified: Yes	.No	DIR Reg	istration Verified: Yes_	NoN/A

### SECTION 2 - QUESTIONS (All Applicants)

#### A. Essential Evaluation Criteria:

	Please check the appropriate box to the right to indicate a yes or no response to each question. Questions below are scored on a pass or fail basis.	Y E S	N O
1.	Contractor possesses a valid active and current California Contractor's license for the project(s) for which it intends to submit a bid(s) or provide work.		
2.	Contractor is currently registered with the California Department of Industrial Relations (DIR) as required by California Labor Code Section 1725.5?  If Exempt, check here (Prequalifying for Exclusive Small Projects)		
3.	Contractor has a general liability insurance policy with a policy per project limit of at least \$2,000,000 per occurrence and \$4,000,000 aggregate. (If no, contractor is not qualified.)		
4.	Contractor has a current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq. (If no, contractor is not qualified.)  Check here if you are exempt from this requirement – no employees		
5.	Have you attached a statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states your current bonding capacity? Statement must be from the surety company, not an agent or broker, and must be specific to the prequalification. (N/A applicable for exclusive small project Contractors. Check here for N/A:)		
6.	Has the Contractor's license been suspended, put on probation, or revoked? (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
7.	Has your firm been assessed liquidated damages in the past 5 years? (If yes, please answer the additional questions below;  1. How many times has Liquidated damages been assessed?  2. What were the dollar amounts of the assessment(s)? \$  (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
8.	Has your firm defaulted on a contract or declared bankruptcy or been placed in receivership within the past seven (7) years? (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
9.	Has your firm been disqualified, debarred, forbidden, or found non-responsible or otherwise prohibited from performing work and/or bidding on work for any other public agency in the State of California within the past five (5) years?  (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
10.	Has your firm been terminated for cause by any public agency on any project in the State of California within the past five (5) years? (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
11.	Is your firm ineligible to bid on or be awarded a public contract, or perform as a subcontractor on a public contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7? (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		
12.	Has your firm or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public entity or agency? (If yes, Contractor is not qualified unless an acceptable explanation is provided, use a separate piece of paper.)		

#### SECTION 2 - QUESTIONS (All Applicants - cont'd)

### **B. Rating Questions**

A score of less than **45** points in this section may disqualify the Contractor from being prequalified for projects proposed by the County. **Do not enter the points! Points will be calculated by County**.

	Questions	Response	Points (For County Use Only)
1.	How many years has your firm been in business in California as a contractor under your present business name and license number? (Less than 3 Yrs. = 1 pt., 3-6 Yrs. = 3 pts., $6+ = 5$ pts.)	Years	Pts.
2.	How many years have your firm performed public projects for any County of Riverside department/agency/division?  (Less than 3 Yrs. = 1 pt., 3-6 Yrs. = 3 pts., 6+ = 5 pts.)	Years	Pts.
3.	How many stop notices have been defended in court by your firm; proceeding to judgment against your firm and/or the owner? $(0 = 6 \text{ pts.}, 1-3 = 4 \text{ pts.}, 3+ = 0 \text{ pts.})$	Stop Notices	Pts.
4.	How many legal proceedings, including arbitration, has your firm initiated against an owner? $(0 = 6 \text{ pts.}, 1-3 = 4 \text{ pts.}, 3+ = 0 \text{ pts.})$	Legal Proceed	Pts.
5.	Has a client ever made a demand on your performance bond?  (Yes = 0 pts., No = 6 pts.)	YesNo	Pts.
6.	Has your firm had insurance terminated by a carrier in the past 5 years due to an excessive claims history and/or nonpayment of premium?  (Yes = 0 pts., No = 5 pts.)	YesNo	Pts.
7.	Does your firm currently have a safety plan which complies with the current OSHA standards?  (Yes = 2 pts., No = 0 pts.)	YesNo	Pts.
	What is your current Worker's Compensation Experience Modification Rate (EMR)? (Obtained from Contractors Insurance Firm. Note N/A if not applicable)	Rate	Pts.
(<	1 = 5  pts., 1.0 - 1.25 = 3  pts., 1.25 - 1.50 = 2  pts., 1.50 + = 0  pts.)		
9.	How many public works projects has your firm completed in California in the past 5 years? $(5+ = 5 \text{ pts.}, 4 = 4 \text{ pts.}, 3 = 3 \text{ pts.}, 2 = 2 \text{ pts.}, 1 \text{ or less} = 0 \text{ pts.})$	Number of Projects	Pts.

### SECTION 2 - QUESTIONS (All Applicants - cont'd) B. Rating Questions

<u>Total Points</u>	(For County Use	ONLY)
Tabal Datab		
(Yes = - 5 pts., No = 5 pts.)		
<b>13.</b> Has your firm or any principal of your firm been cited or found guilty of violating any federal, state or local law, rule or regulation regarding a construction contract?	Yes No	Pts.
(No = 5 pts., $1 = 3$ pts., $2 = 2$ pts., $3 = 2$ pts., $3 + = 0$ pts.)	Claims	
<b>12.</b> Within the past 3 years has your firm or any principal ever settled a claim for under payment of wages with a worker or the Labor Board?	YesNo	Pts.
(No = 5 pts., $1 = 3$ pts., $2 = 2$ pts., $3 = 1$ pts., $3 + = 0$ pts.)		Pts.
<b>11.</b> Within the past 3 years has your firm or any principal of your firm paid penalties for a Labor Code violation?	YesNo	
(No = 5 pts., $1 = 4$ pts., $2 = 3$ pts., $3 = 2$ pts., $3 + = 0$ pts.)	Complaints	
10. Within the past 5 years, have any of your employees or another entity filed a complaint against your firm with the California Contractors State License Board? If yes, how many complaints were filed?	YesNo	Pts.

County Use Only:				
Verified by		_Date	_	
Pass:	Fail:	_		

#### **SECTION 3 - SAFETY RECORD**

1. List the firms Workers Compensation Experience Modification Rate (EMR) for the three (3) most recent years (if applicable). Your EMR should be obtained from your insurance agent. Attach a letter from the insurance agent/carrier identifying the EMR rate for the past three years. If this is not applicable, the letter should state the reason why EMR does not apply. (Use Attachment 3.1 for EMR letter) Must score 70% or higher of eligible points

**Provide actual information in all boxes – Do not enter** 

Number of fatalities (enter number **per year**, if none enter

the points! Points will be calculated by County.

"0"):

2020

2021

2022

Total

**Points** 

1 or r	more = 0 pts, 0 = 5 pts (Points are per year)				
(Ente <1= 1 (1.8-2	ers Compensation Experience Modification Rate (EMR) er EMR <u>per year</u> if applicable) 10 pts, (1.1–1.4) = 8 pts, (1.5-1.7) = 6 pts, 2.) = 4 pts, >2 = 0 pts (Points are per year) A Violations (enter number of violations per year, if none				
enter	, , , ,				
<0=5	5 pts, 1-2 = 3 pts, >2 = 0 pts (Points are per year)				
	For County Use ONLY	707	: = = = = = = = = = = = = = = = = = = =		
		TO1 <i>8</i>	AL POINTS		
2.	Do you hold safety meetings for field supervisors and How often? (Check One) Weekly Bi-Weekly				
3.	Does your company conduct project safety inspection	ns? Yes	No		_
4.	Does your company have a written safety program?	Yes	No		
5.	Does your company have a safety orientation progra	m for new	employees	?	
	Yes No				
	State any additional areas of your company's safety be appropriate in the County's evaluation. (Use a sepasponse in the submission.)		•	•	
7.	Has your company had OSHA violations in the last five	ve (5) year	s? Yes*	No _	
	(If yes, please attach OSHA letter describing violation	on and reso	olution.)		
Cour	nty Use Only:				
Verifi	ied byDate				
Pass:	: Fail:				

#### **SECTION 4 – INSURANCE**

1. limit (	Does the firm currently have a <u>minimum</u> of \$2,000,000 per occurrence combined single Commercial General Liability insurance? Yes No
Count	Note: If/when Contractor does any work for the County, the policy must be endorsed to add y as additionally insured prior to project start date.
2. limit E	Does the firm currently have a <u>minimum</u> of \$1,000,000 per occurrence combined single Business Auto Liability? Yes No
Count	Note: If/when Contractor does any work for the County, the policy must be endorsed to add y as additionally insured prior to project start date.
	Does the firm currently have Worker's Compensation Employers Liability of \$1,000,000 ling Occupational Disease with limits not less than \$1,000,000 per person per accident?  No
waive s	Note: If/when Contractor does any work for the County, Workers Compensation policy must be endorsed to subrogation in favor of the County prior to project start date.
4. <b>VIII</b>	Insurance provider <u>must</u> be California admitted, with a minimum of AM Best Rating of <b>A:</b> (8).
5.	Does the firm have <u>Umbrella Liability</u> coverage? Yes No
	If Yes, how much per occurrence: \$ aggregate: \$
	Contractor <b>MUST</b> provide a copy of their <u>Certificate of Insurance</u> as verification only, an form listing the minimum limits noted above will suffice. County may require additional ance limits for high risk projects. ( <b>Use Attachment 4.1 noted on page 15 of 18</b> )
7.	Insurance Company Provider Information Name:
	Address:
	Phone: () Contact:
Cou	nty Use Only:
Verif	ied byDate
Insur	rance Verified: YesNo
Date	of Insurance Expiration:
Certi	ficates Attached: YesNo
AM's	Best Rating: CA Admitted: YesNo
Meet	s Required Limits: YesNo

#### **SECTION 5 - SURETY INFORMATION**

List all surety companies below, not agencies, utilized by the firm in the last five (5) years. (Use a separate sheet of paper if more space is needed) A letter stating per project and aggregate bondabilty amounts from the current surety provide is required. (Attachment 5.1) (This is not applicable to Contractors wishing to qualify for Exclusive Small Projects.)

Surety Company	Contact & Phone #	Largest Bond	List Years Used

Please explain on a separate page, with dates of occurrences, any positive answer to the following questions.

2. Has your company, any owner, or affiliated company ever:	NO	YES	
a. Been unable to obtain a bond or been denied a bond for a contract?			
b. Defaulted on a contract resulting in a tender to a surety?			
c. Failed to complete a contract within the authorized contract time?			
d. Declared bankruptcy?			
e. Been in receivership?			
f. Had any arbitration (not litigation) on a contract?			
g. Had any outstanding liens/stop notices for labor and/or material filed against the firm on any contracts which have been completed or are being completed by the firm?			
h. Been in litigation related to construction?			
i. Had any of the sureties bonding your jobs required or requested to complete any part of your work during the last five (5) years?			

County Use Only:			
Verified by		_Date	-
Pass:	Fail:		

#### SECTION 6 – LETTER OF GOOD STANDING FROM FINANCIAL INSTITUTE

A letter of good standing from the company's financial institute must be included (**Use Attachment 6.1**). Contact information must be provided for County to verify authenticity of letter.

The letter should provide sufficient detail to assure the County of Riverside that the company can support the services being offered and as a Contractor the firm will not seek early payment for services delivered, request expedited payments or request checks to be delivered by any means other than regular mail through the County Auditor/Controller's Office unless the contractor has elected to participate in electronic payments during (or by updating) their vendor registration profile.

The letter may include how long the firm has been with the financial institute but DO NOT include account numbers or identifying information.

Letter of Good Standing will be reviewed and scored as a "Pass or Fail" criteria.

County Use Only:	
Verified by	Date
Pass:	:

#### **SECTION 7 - AFFIDAVIT DECLARATION**

I,the (Printed name)	, hereby declare that I am		
	f		
(Title)	(Name of applicant firm)		
Submitting this Prequalification Package; that I am duly authorized to execute this Prequalification Package on behalf of the above named contractor; and that all information set forth in this Prequalification Package and all attachments hereto are, to the best of my knowledge, true, accurate, and complete as of its submission date.			
I declare, under penalty of perjury, that t	the foregoing is true and correct and that this declaration		
was Subscribed at	(location and city), County of		
	, State of		
On	(date).		
Signature of Applicant:(Shall be original signal)	ature)		
If signed by other than the sole proprietor notarized power of attorney or corporate in	r, a general partner, or corporate officer, attaches original resolution.)		
	equalification evaluation will be considered official he County will maintain its confidentiality to the extent		
same and it is true to the best of his conducing the County to supply the Apple depository, vendor, or other agency. County with any information necessal statement at any time cease to proper condition of the Applicant in any material change and refrain from fur corrected statement is submitted.  Applicant shall provide a copy of the principal change the standing of the Corporation.	ment of experience and financial condition has read the or her knowledge. The statement is for the purpose of plicant with plans and specifications and any named therein is hereby authorized to supply the ary to verify the statement. Should the foregoing erly and truly represent the experience and financial terial respect, the Applicant will notify County of said of the participation in County work until a revised and most recent certificate from the Secretary of State ation or partnership if applicable. Sole Proprietors		
shall provide a letter stating their sta	itus. (Use Attachment 7.1)		
County Use Only:			
Verified by	Date		
Certificate from Secretary of State Attache	ed:YesNo Sole Prop: Yes No		

#### Section 8 – Prevailing Wage and Apprenticeship Compliance Record

(must score 70% or higher of eligible points)

All public works contracts valued at \$30,000 or more carry an obligation to hire apprentices, unless the craft or trade does not require the use of apprentices, as indicated in the corresponding prevailing wage determination. This duty applies to all contractors and subcontractors on a project, even if their part of the project is less than \$30,000.

For More information regarding Apprenticeship requirements please visit the DIR website at the following link <a href="https://www.dir.ca.gov/das/publicworks.html">https://www.dir.ca.gov/das/publicworks.html</a>

1.		there been more than one occasion during the last five years er back wages or penalties for your own firm's failure to cos?		
		E: This question refers only to your own firm's violation of prevailing waby a subcontractor.	ge laws, not to violations of the prevailing wage	
	☐ Ye	Yes* No	Points	
		(No = 5 pts., 1 = 3 pts)	s., 2 = 2 pts., 3 = 1 pts., 3+ = 0 pts.)	
	date of	es," attach a separate signed page or pages, describing the nature of each vof its completion, the public agency for which it was constructed; the nun he amount of back wages and penalties that you were required to pay.		
2. Provide the <b>name</b> , <b>address and telephone number</b> of the apprenticeship program (approved by the Cal Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for any public work project for which you are awarded a contract by [Public Entity].				
			Points	
	Ch	Check here is Craft or Trade does not require the use of app	rentices	
		(At least 1	L = 5 pts., Any other answer = 0 pts.)	
3.	If your	our firm operates its own State-approved apprenticeship program:		
	(a)	Identify the craft or crafts in which your firm provided apprent	iceship training in the past year.	
	(b)	State the year in which each such apprenticeship program was recent California Apprenticeship Council approval(s) of your a		

	th	ree years in ea	mber of individuals who were employed by your firm as apprentices at any time during the past ears in each apprenticeship and the number of persons who, during the past three years, completed iceships in each craft while employed by your firm.					
	а <sub>г</sub>	prenneesinps	In each craft with				P	oints
	_				(1 or m	ore person = 5	pts., No persor	ıs = 0 pts.)
4.			last five years, hegulations, or the					of California
	by a subc	ontractor and	reference to any I your firm, as ge on at the time the	neral cor	ntractor on a pi			iolation was
	Yes*	☐ No					·	Points
	If "yes," p	rovide the dat	e(s) of such findin	igs, and at	ttach copies of the	ne Department's f	inal decision(s).	
				(No =	= 5 pts., 1 = 3	3 pts., 2 = 2 pts	., 3 = 1 pts., 3	+ = 0 pts.)
Cou	ınty Use O	only:						
/eri	fied by				Date		-	
ass	s:	_	Fail:		Total Points			

### Section 9 – Certificate of Reported Compliance In-Use Off-Road Diesel-Fueled Fleets Regulation

As of January 1, 2024, contracting entities (public works awarding bodies and prime contractors) are required to obtain and retain a fleet's valid Certificate of Reported Compliance prior to awarding or hiring a fleet. Any public works awarding body that awards contracts involving the operation of self-propelled off-road diesel vehicles 25 horsepower or greater and most two-engine vehicles owned and operated in California, including vehicles that are rented or leased.

All contracting obligations start January 1, 2024, for both prime contractors and public works awarding bodies.

- For a project involving the use of vehicles subject to the regulation, the prime contractor or public works awarding body must obtain copies of the valid Certificate of Reported Compliance (Certificate) for the fleets and subcontractors that are listed in the contract.
- Certificates must be obtained prior to awarding or renewing a contract.
- Noncompliant fleets, i.e., those without a valid Certificate, are prohibited from being contracted.
- Copies of the Certificates must be retained for three years after the project is complete.
- Records must be provided to CARB within five business days, upon request.

**IN-USE OFF-ROAD DIESEL-FUELED FLEET CERTIFICATE OF REPORTED COMPLIANCE** – Valid Certificates of Reported Compliance as described in California Code of Regulations, title 13, section 2449(n) for fleets of vehicles subject to 13 CCR section 2449 are required for this project.

I certify that I have reviewed and agree to the above req	uirements on behalf of the company listed below
Signed:	Dated:
Name and title:	
Company:	
Please advise if this applicable	

#### **Attachment Section 1.1**

Applicant shall exchange this page for a copy of

The California State License Board (CSLB) Contractor's license

#### **Attachment Section 1.2**

Applicant shall exchange this page for a copy of
The Contractor's DIR Registration (if applicable)
This section is not required for Exclusive
Small Projects

#### **Attachment 3.1**

<u>Applicant shall exchange this page for the</u>
<u>EMR Letter from the insurance agent/carrier.</u>

#### **Attachment 4.1**

Applicant shall exchange this page for a current certificate of liability insurance reflecting all coverages limits

#### Attachment 5.1

Applicant shall exchange this page for a current

letter of bondability which clearly shows bonding agency's estimate of largest single bond amount most likely approvable as well as per project and aggregate bond amounts of the firm.

(As noted from Section 2 Questions, A. Essential Evaluation Criteria, Question #5)

#### **Attachment 6.1**

<u>Applicant shall exchange this page for</u>
<u>Letter of Good Standing.</u>

#### **Attachment 7.1**

Applicant shall exchange this page

for California Secretary of State most recent certificate

indicating the standing of the Corporation or Partnership (if applicable)

or Sole Proprietor letter.